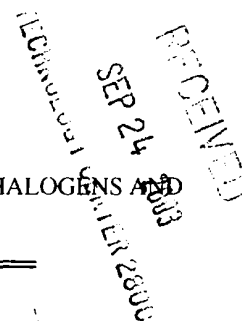




2814

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vaartstra Group Art Unit: 2814
Serial No.: 10/032,049 Examiner: Thao X. Le
Filed: December 21, 2001 Docket No.: 150.01180101
Confirmation No.: 5131
Title: METHODS FOR PLANARIZATION OF METAL-CONTAINING SURFACES USING HALOGENS AND HALIDE SALTS



Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ An itemized return postcard.
☐ A Petition for Extension of Time for __ month(s) and a check in the amount of \$__ for the required fee.
☒ An Information Disclosure Statement (2 pgs); 1449 form (1 pg); and copies of 4 documents cited on the 1449 forms.
☐ A check in the amount of \$__, for __.
☐ A certified copy of a __ application, Serial No. __, filed ____, the right of priority of which is claimed under 35 U.S.C. §119.
☐ Other: __
☐ Amendment __ No Additional fee is required. __ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$84 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$280 Here →					
Total Additional Claim Fees Required					

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8 The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15 day of September, 2003.

MUETING, RAASCH & GEBHARDT, P.A.
Customer Number: 26813

By:
Loren O. Albin
Reg. No.: 37,763
Direct Dial: 612-305-1225
Facsimile: 612-305-1228

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT

Docket No. 150.01180101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vaartstra) Group Art Unit: 2814
Serial No.: 10/032,049) Examiner: Thao X. Le
Confirmation No.: 5131)
Filed: December 21, 2001)
For: METHODS FOR PLANARIZATION OF METAL-CONTAINING SURFACES
USING HALOGENS AND HALIDE SALTS

RECEIVED
SEP 24 2003
TECHNOLOGY CENTER 2800

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

Information Disclosure Statement

Page 2 of 2

Applicant: Vaartstra

Serial No.: 10/032,049

Confirmation No.: 5131

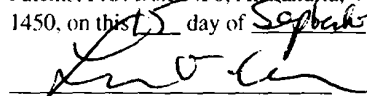
Filed: December 21, 2001

For: METHODS FOR PLANARIZATION OF METAL-CONTAINING SURFACES USING HALOGENS
AND HALIDE SALTS

The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15 day of September, 2003.


Loren D. Albin

Respectfully submitted for

VAARTSTRA

By

Muetting, Raasch & Gebhardt, P.A.

P.O. Box 581415

Minneapolis, MN 55458-1415

Phone: (612)305-1220

Facsimile: (612)305-1228

Customer Number 26813

September 15, 2003
Date

By: 

Loren D. Albin

Reg. No. 37,763

Direct Dial (612)305-1225

SEP 22 2003
PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		5,695,384	12/09/97	Beratan			
		5,700,383	12/23/97	Feller et al.			
		6,261,157 B1	07/17/01	Bajaj et al.			
		6,436,723 B1	08/20/02	Tomita et al.			

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

[illegible]

EXAMINER	Date Considered
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	